



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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November 30, 1999
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above addressed to the

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Washington, D.C. 20231

Marcelle Ivie
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Dated: November 30, 1999

Donald E. Schreiber
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(408) 541-9168

Applicants: Jung-Chih Huang, et al.

Docket No. 2139

Serial no.: 09/429,174

Filed : October 28, 1999

For : PRE-BOOT SECURITY CONTROLLER

Art Unit : 2836 Customer Service Center: (Unreadable)

Hon. Commissioner of Patents
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SUBMISSION OF MISSING PARTS

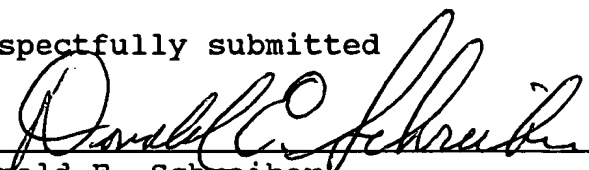
Responsive to a "Notice to File Missing Parts of Application," Form PTO-1533, that requested submission of a fully executed oath or declaration, the Applicants hereby submit both:

1. a declaration fully executed by all of the inventors identified on the partially executed declaration submitted at the patent application's filing; and
2. a small entity statements respectively executed by all of the inventors, and by the assignee.

Small entity status under 37 C.F.R. 1.9 and 1.27 is now fully established for this application. In compliance with the "Notice to File Missing Parts of Application," Applicants also enclose a check in payment of the \$65.00 surcharge to avoid abandoning this patent application.

If any additional fee is due for filing this response to the "Notice to File Missing Parts of Application," the Commissioner of Patents and Trademarks is hereby authorized to charge any deficiency or credit any surplus in any fee to Deposit Account No. 19-0735. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted


Donald E. Schreiber
Reg. No. 29,435

Dated: November 30, 1999

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Attorney for Applicants



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sector

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/429,174 10/28/99 HUANG

J 2139

0212/1119

DONALD E SCHREIBER
A PROFESSIONAL CORPORATION
POST OFFICE BOX 64150
SUNNYVALE CA 94088-4150

NOT ASSIGNED

2836

DATE MAILED:

11/19/99

NOTICE TO FILE MISSING PARTS OF APPLICATION:

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:
- \$_____ for _____ total claims over 20.
- \$_____ for _____ independent claims over 3.
- \$_____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☐ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

Jung-Chih Huang - Aaron Reynolds

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

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